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PTO/SB/21 (modified)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office	
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/004,019
		Filing Date	October 18, 2001
		First Named Inventor	Eng-What Toh
		Group Art Unit Number	2131
		Examiner Name	Unknown
Total Number of Pages in This Submission	7**	Attorney Docket Number	20735-05505

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ENCLOSURES (check all that apply)		JUN 19 2002
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____	Technology Center 2100
<b>REMARKS:</b> ** "Total Number of Pages in this Submission" does not include cited references.		

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Bradley D. Baugh, Reg. No. 50,368	Dated:	11 June 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Eng-Whatt Toh and Kok-Hoon Teo  
APPLICATION NO.: 10/004,019  
FILING DATE: October 18, 2001  
TITLE: Secure and Reliable Document Delivery Using Routing Lists  
EXAMINER: Unknown  
GROUP ART UNIT: 2131  
ATTY. DKT. NO.: 20735-05505

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Technology Center 2100

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Dated: 11 June 2002

By: Bradley D. Baugh  
Bradley D. Baugh, Reg. No.: 50,368

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
ENG-WHATT TOH & KOK-HOON TEO

Dated: 11 June 2002

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Sheet 1 of 3

<b>FORM PTO-1449</b> (REV. 6-89)  <b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Attorney's Docket No. <b>20735-05505</b>	Serial No. <b>10/004,019</b>
	Applicant <b>Eng-Whatt Toh, et al.</b>			
	Filing Date <b>10/18/01</b>		Group Art Unit <b>2131</b>	

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	4,625,076	11/25/86	Okamoto et al.	178	22.4	
	B	4,816,655	3/28/89	Musyck et al.	235	380	
	C	4,868,877	9/19/89	Fischer	380	25	
	D	5,005,200	4/2/91	Fischer	380	30	
	E	5,018,196	5/21/91	Takaragi et al.	380	30	
	F	5,138,653	8/11/92	Le Clercq	379	96	
	G	5,157,726	10/20/92	Merkle et al.	380	23	
	H	5,210,869	5/11/93	Williams	395	600	
	I	5,241,599	8/31/93	Bellovin et al.	380	21	
	J	5,261,002	11/9/93	Perlman et al.	380	30	
	K	5,283,887	2/1/94	Zachery	395	500	
	L	5,293,250	3/8/94	Okumura et al.	358	402	
	M	5,315,635	5/24/94	Kane et al.	379	57	
	N	5,388,158	2/7/95	Berson	380	21	
	O	5,396,537	3/7/95	Schwendeman	379	57	
	P	5,398,285	3/14/95	Borgelt et al.	380	30	
	Q	5,424,724	6/13/95	Williams et al.	340	825.05	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.



Sheet 2 of 3

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney's Docket No.

20735-05505

Serial No.

10/004,019

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Applicant

Eng-Whatt Toh, et al.

Filing Date

10/18/01

Group Art Unit

2131

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	R	5,432,852	7/11/95	Leighton et al.	380	30	
	S	5,557,346	9/17/96	Lipner et al.	380	21	
	T	5,557,765	9/17/96	Lipner et al.	380	21	
	U	5,581,615	12/3/96	Stern	380	25	
	V	5,633,929	5/27/97	Kaliski, Jr.	380	23	
	W	5,689,565	11/18/97	Spies et al.	380	25	
	X	5,689,567	11/18/97	Miyauchi	380	25	
	Y	5,721,777	2/24/98	Blaze	380	4	
	Z	5,745,573	4/28/98	Lipner et al.	380	21	
	AA	5,751,813	5/12/98	Dorenbos	380	49	
	BB	5,751,814	5/12/98	Kafri	380	49	
	CC	5,799,086	8/25/98	Sudia	380	23	
	DD	5,832,218	11/3/98	Gibbs et al.	395	200.33	
	EE	5,841,865	11/24/98	Sudia	380	21	
	FF	5,845,074	12/1/98	Kobata	395	200.49	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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Sheet 3 of 3

FORM PTO-1449  
(REV. 6-89)DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney's Docket No.

20735-05505

Serial No.

10/004,019

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Eng-Whatt Toh, et al.

Filing Date

10/18/01

Group Art Unit

2131

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	GG	5,850,451	12/15/98	Sudia	380	49	
	HH	5,850,519	12/15/98	Vazana	395	200.36	
	II	5,872,848	2/16/99	Romney et al.	380	25	
	JJ	5,898,156	4/27/99	Wilfong	235	380	
	KK	5,912,974	6/15/99	Holloway et al.	380	51	
	LL	5,915,024	6/22/99	Kitaori et al.	380	25	
	MM	5,948,103	9/7/99	Fukuzaki	713	200	
	NN	6,079,020	6/20/00	Liu	713	201	
	OO	6,081,610	6/27/00	Dwork et al.	382	119	
	PP	6,085,322	7/4/00	Romney et al.	713	176	

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	QQ	WO98/57464 /	17.12.98	PCT				
	RR	WO/9857465 /	17.12.98	PCT				
	SS	WO99/00958 /	07.01.99	PCT				
	TT	EP0840478A2 /	06.05.98	Europe				
	UU	EP0915436A1 /	12.05.99	Europe				
	VV	EP869652A2 /	07.10.98	Europe				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

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PTO-1449

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